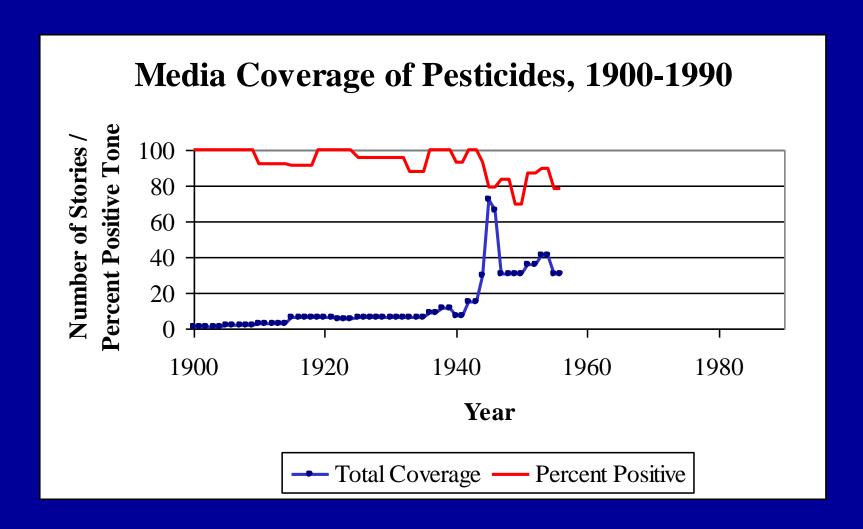
Some examples of issuedefinitions and their relation to the politics of attention

POLI 195

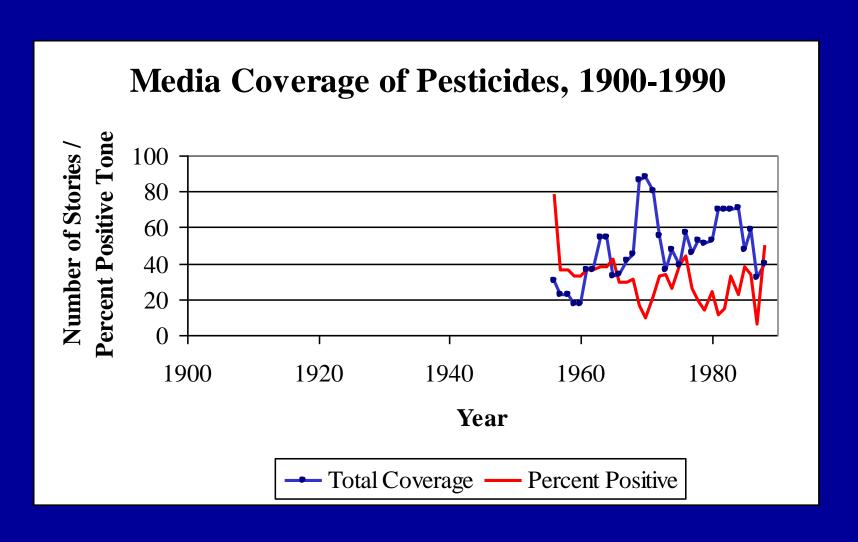
Prof. Baumgartner

September 30, 2009

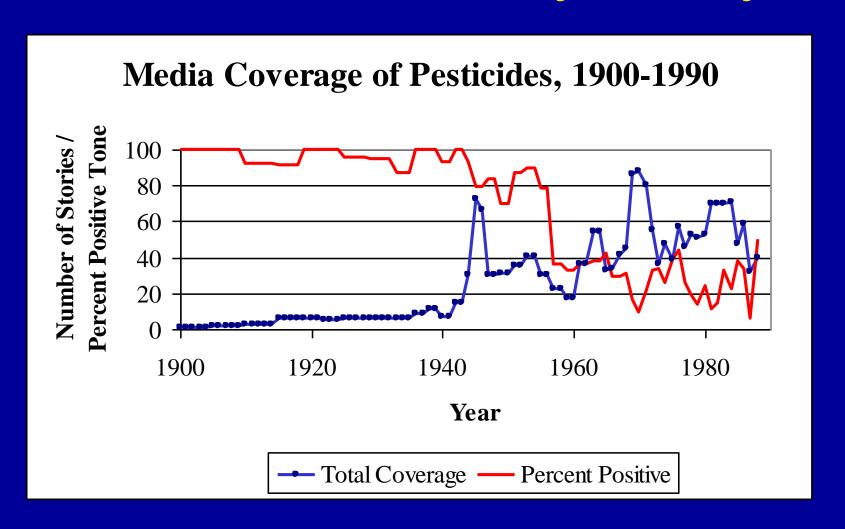
Pesticides: Looking good after World War Two



Pesticides: No longer such good news after 1956



Pesticides: From green revolution to nobody's baby



This type of "lurching" is typical of all policies, not unusual

 No matter if individual policymakers can create these shifts on demand, systems of policymaking may be subject to periods of incrementalism with occasional punctuations.

 Punctuated-equilibrium theory as an explanation of these patterns.

The puzzle: Status quo orientation and occasional disruptions

- What causes large scale policy change?
- What makes policy makers share a consensus on the special value of the status quo policy that makes them repeat it so much?
- A theory of punctuated equilibrium requires explaining both hyperincrementalism and radical change

A focus on cognitive processes.

Knowledge asymmetries

Communities of Experts

- May be homogeneous, shared interests
- Or heterogeneous, conflicting interests
- No matter what, they share a language, professional understanding of the details of a single policy area
- All policies have communities of experts

"Outsiders"

- Anyone else: public, mass media, government
- Do not have the detailed knowledge
- Use "cognitive shortcuts"

Reasons for policy stability

- Policy works well
- Policy works less badly than in other areas
 - Crises in other areas use up agenda space
 - Scarcity of space on "page one" or public agenda
- Dominant paradigm among experts
- Negotiated settlement among experts
- No consensus on alternative policy
- No sense that the status quo is in crisis
- Prestige, autonomy of experts
- (Note: "Policy works well" is rarely the reason)

Reasons for dramatic change

Crisis

- Unintended consequences of s. q. policy
- Demographic, social, economic changes accumulate
- Events, stochastic shocks occur

New policy opportunities

- New technologies, new policy solutions emerge
- Lower cost options emerge, economic shifts
- New political leadership
- Generational shifts among experts: new paradigm
- Other problems recede (space on policy agenda)

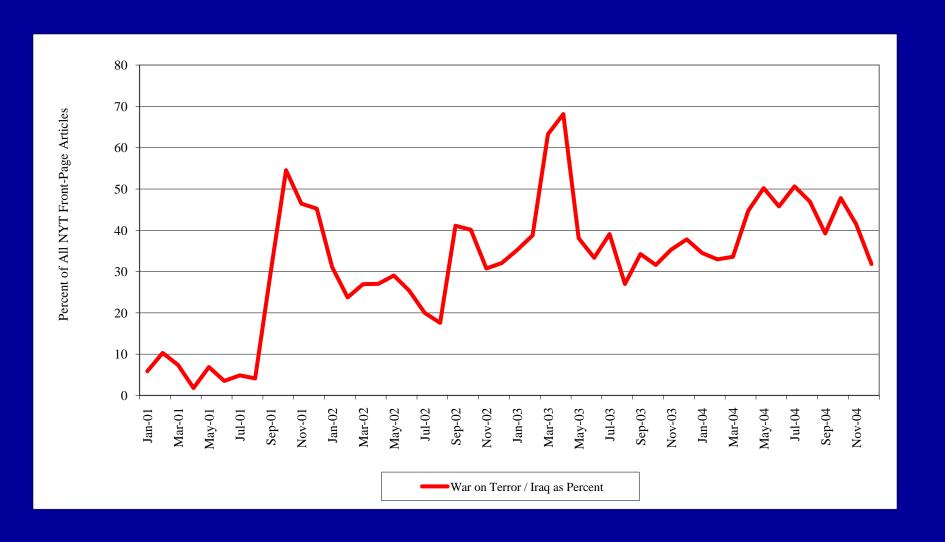
The problem of attention scarcity

- "Prime Minister's portfolio": everything imaginable
- Division of labor allows governments to do many things simultaneously, unlike individuals
- However, high-level attention remains scarce
 - Prime Minister's time
 - Space on Page One of newspapers, TV, radio
 - Election platforms of parties and candidates
 - Public concern
- Most policies, most of the time: expert communities
- Any policy, occasionally: a crisis or opportunity allows or demands "outsiders" to become interested
- Usually, this implies that the experts "failed"
- Justifies dramatic shifts from the unsuccessful sq policy

A threshold model of attention

- Threshold of "urgency"
 - Determined by space, how many problems can be on the agenda, and competition, how many other problems are already there
 - Severity of the problem itself may be less important than the rise and fall of other problems
 - Example of the US war in Iraq
 - 40 percent of the front page of the NY Times is used up
 - That much less space for other policy issues

A cost of war: Agenda space



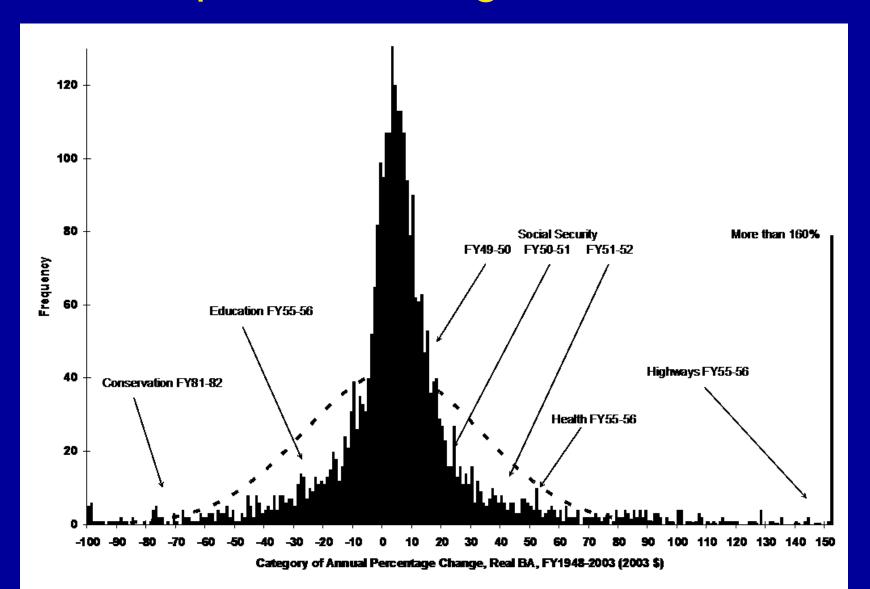
A threshold model of attention

- Below the threshold: Under-response
 - No reason to call into question dominant paradigm
 - Status quo policy rubber-stamped
 - Only marginal responses to emerging trends in the severity of underlying problems
- Expectation: Stability, hyper-incrementalism

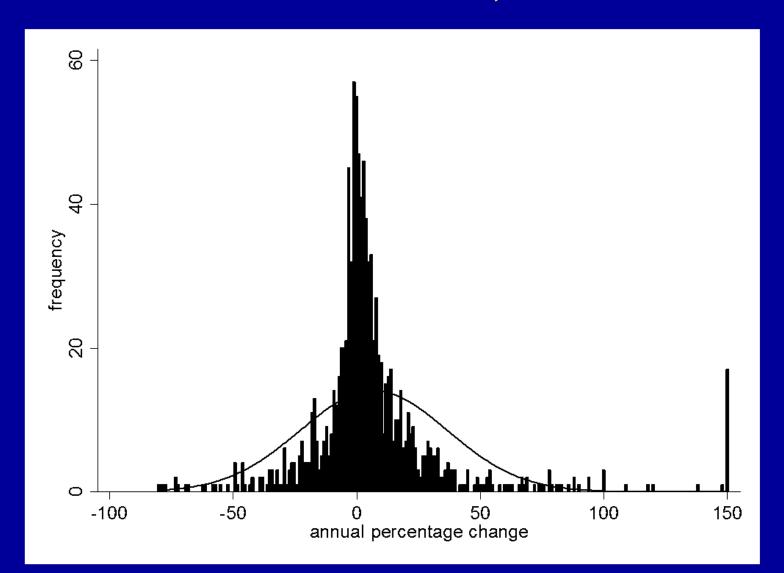
A threshold model of attention

- Over the threshold: "Alarmed discovery"
 - SQ policy obviously demands reconsideration
 - Core policy assumptions may be challenged
 - "Outsiders" will depend on experts for an understanding of the causes of the crisis
 - Among experts, previously dominant coalition may be discredited, challengers may gain power, credibility
 - Both sides must communicate with outsiders
 - "Outsiders" will use stereotypes

Punctuated equilibrium in the US budget: Annual percent changes, 1948-2003



Annual percent changes in spending by 10 French ministries, 1868-2002



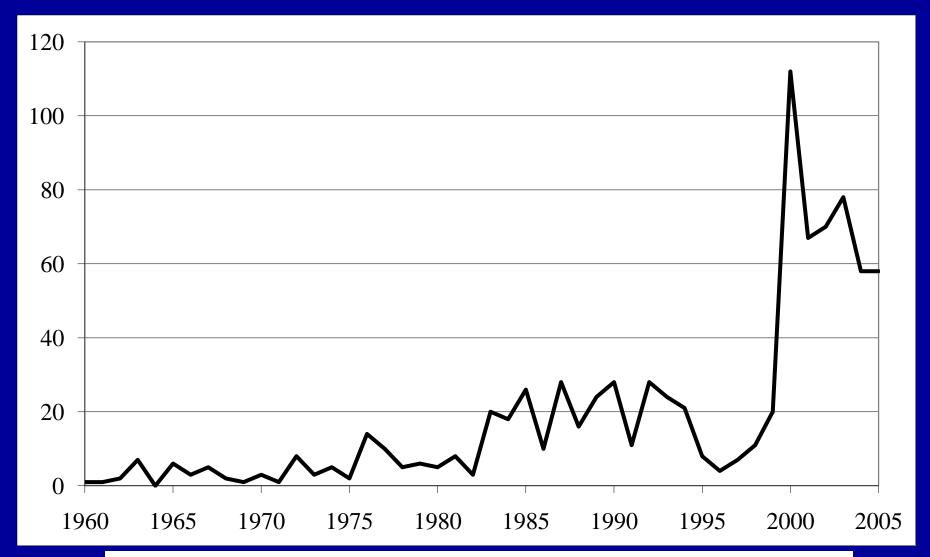
How does this work in particular cases?

- Pesticides: You already saw
- Nuclear power:
 - "Atoms for Peace" and "electricity too cheap to meter" in 1950s
 - Radiation, waste, NIMBY
 - Shift occurred earlier than most people realize, late-1960s in US
- Smoking and tobacco
 - Who would have thought, 20 years ago, that you could not smoke in a French café, a British pub, or a New York workplace?
- Financial regulations
 - Does not take a PhD to suggest that regulatory structures are likely to be revised, given the crisis: old paradigm has no credibility among non experts

The "discovery of innocence"

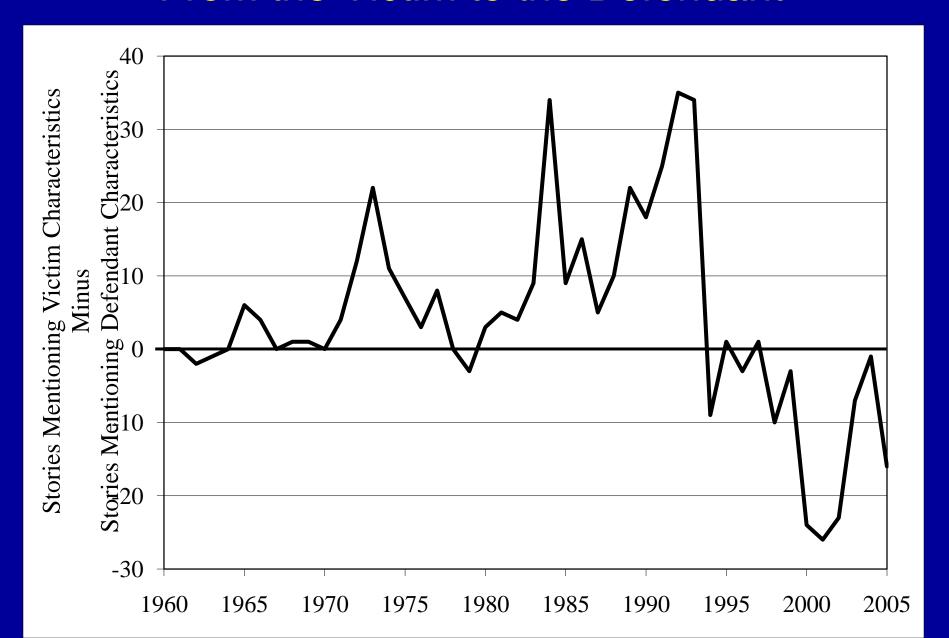
- US death penalty
- Morality, religious views
 - More Americans have a religious view in support of "an eye for an eye" than one supporting forgiveness, redemption
- Bureaucratic incompetence, errors, mistakes
 - What are the odds of a single error occurring, given that there are almost 4,000 individuals on death row and over 1,000 have been executed since 1976?
- The answer is obvious, but attention never focused on the question until the late-1990s
- The "discovery" of something that has always been there was dramatic and has reversed a public policy

The rise of the "innocence frame"

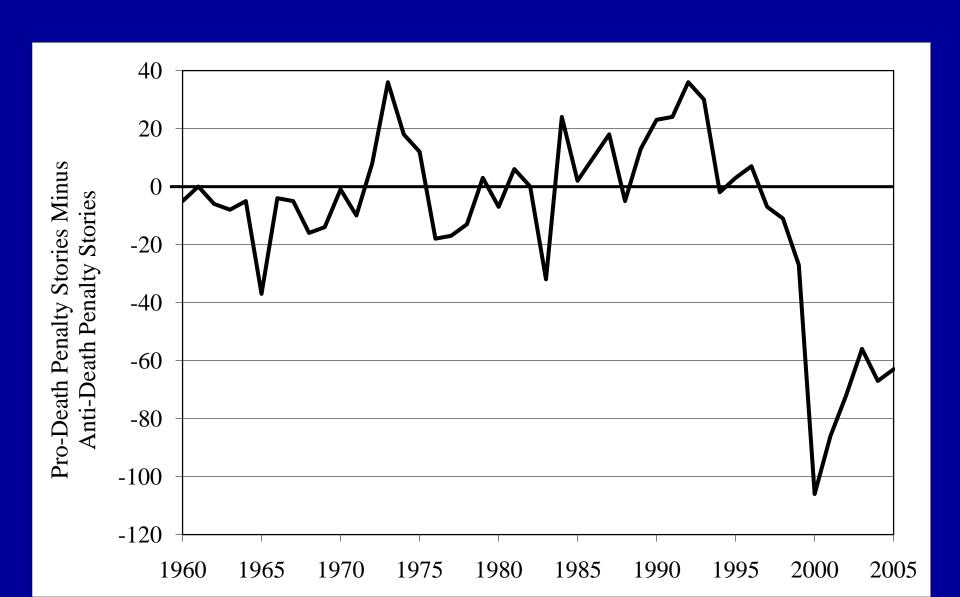


Includes: Innocence; Evidence; System-is-Broken; Mention of the Defendant

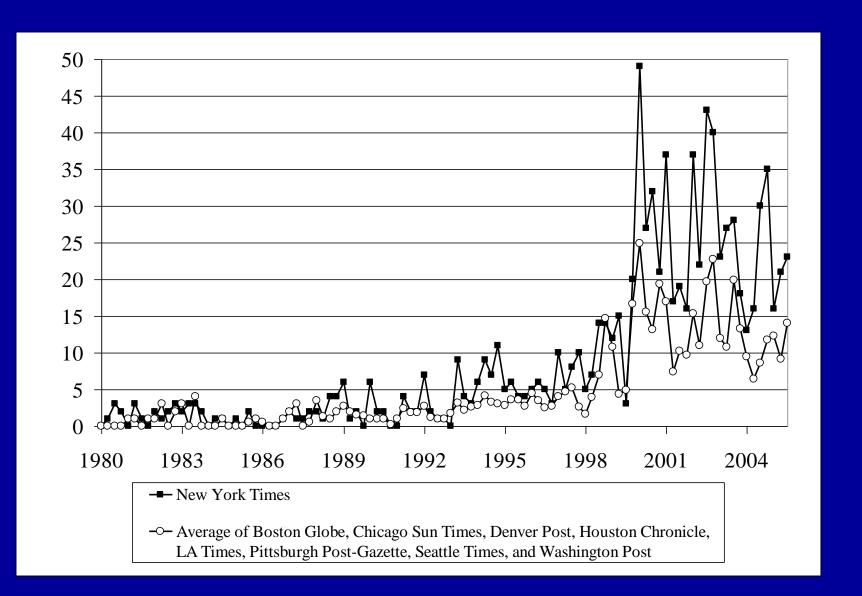
From the Victim to the Defendant



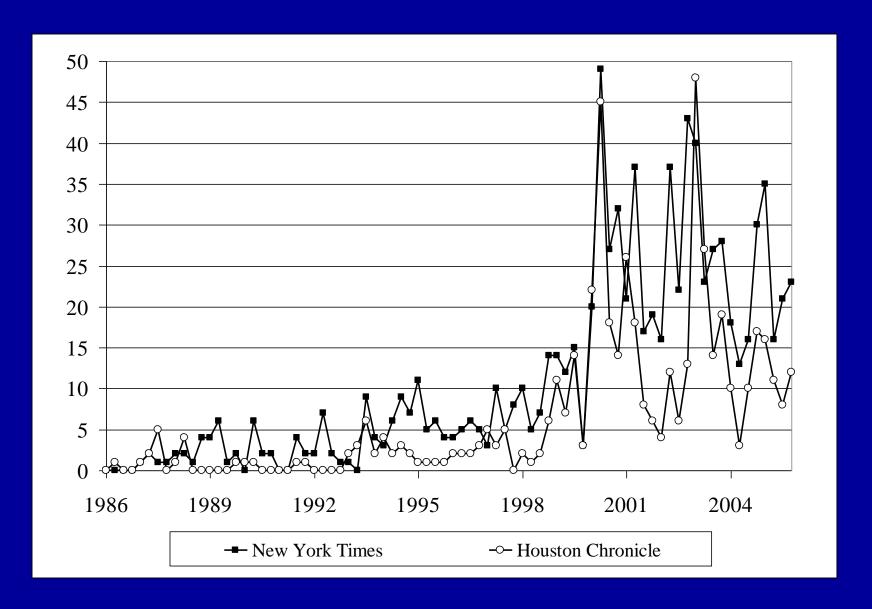
The "net tone" of NYT coverage, 1960–2005



"Innocence" in the NYTimes v. Other Papers



"Innocence" is in the Houston Chronicle too



Public discussion of public policy

- Extremely frustrating to experts to understand how oversimplified their policies will be in public discussion
- Not simply a question of "public relations"
- Virtually any policy can be explained in a manner that engages the public imagination
- The slow accumulation of changing circumstances will not lead to proportionate public response
- Rather, there will be little response for many years
- Suddenly during periods of heightened attention dramatic changes can be justified
- Important to be ready for these periods of heightened attention and to understand how the discussion will change

Punctuated equilibrium is inevitable

- Cognitive reasons for it: we can't pay attention to everything, all the time
- It is frustrating because if means that policies will always be inefficient: they will not adjust smoothly and in proportion to the severity of underlying problems
- However they do change, and sometimes dramatically
- No guarantee, however, that the direction of change will be what one wants, or that the timing of it will be when one wants
- Need to be prepared for the inevitable periods of dramatic change in all policy areas

Relations to Health Care

- First, why now? Certainly a crisis, but not really more of one than in previous years. Leadership, credible argument that it is a crisis, but not obviously so in the sense that a single event occurred.
- Second, is the status quo being strongly defended? No.
 All agree that important changes need to be made.
- Third, what kinds of changes might then ensue? This is why the lobbying is so intense – anything is possible!
- Fourth, does any single actor in the process control which issue-definitions will emerge as the most prominent? No, that is why they are all trying so hard.