

POLI 718, Agenda-Setting Spring 2014, Wednesdays, 3:00–5:50pm Genome Sciences Building 1373

Prof. Frank R. Baumgartner 313 Hamilton Hall, phone 962-0414

Frankb@unc.edu

Web site: http://www.unc.edu/~fbaum/

Office hours: Wed, 1-3 pm and by appointment

This class will focus on theoretical approaches to the study of agenda-setting with a focus on the US federal government. We will begin in the 1950s and go to the current literature, covering a wide range of methodological approaches. We will pay special attention to punctuated equilibrium and the policy agendas project and the movement of the literature from a focus on power, to agenda-setting, to decision-making more generally. We will not cover everything, and indeed there will be important elements of the literature that we will not have time to address. Therefore you should also look at the supplemental reading list on the class web page; this is a good place to start for an introduction to other literatures that we could easily have included here. Term papers may be related to these supplemental topics or to the readings covered in class, depending on your interests.

Much of this class relates to my own research agenda. Rather than shy away from that as I do in many classes, this one addresses and reviews much of my own research and that of my collaborators. In particular, we will read a lot of things that stem from the US-based Policy Agendas Project and its sister projects in various other countries. Take some time to become familiar with these projects by looking at the web sites: www.policyagendas.org and www.comparativeagendas.info. Note that various comparative projects have their own individual web sites as well. If you are interested in doing empirical analysis for your term paper, feel free to use these resources, and I can also help make contacts with project leaders in other countries if there might be additional data not yet made available through a public web site. The agendas project is a work in continual progress and development so if you are interested in getting involved there are many opportunities.

As someone interested in agenda-setting should do, I often pay attention to issues that seem to be on the cusp of the agenda. Two such issues at the moment are campus sexual violence, and distracted driving. I will encourage each of you to think about these running examples throughout the semester as we go through the readings. And, we will have visits in class from people involved in both those issues. One is a student here at Carolina who has been active at the national level in attempting to bring issues of campus sexual violence to the agenda. Another is a retired professor here who witnessed a vehicular homicide on campus where the driver was texting, ran over another student, and killed her. What does it take to bring such issues to the attention of government leaders? How do the issues get framed? Who fights back? What are the roles of material resources, partisanship, and other factors? By following these two particular cases, and talking about them in class, we can try to bring the theories in touch with reality. These issues may also provide good term paper ideas for some of you.

Students may be at different stages of their graduate training and come from different departments and disciplines, and therefore may benefit from writing different types of term papers. These might be empirical article-style projects drawing from data analysis from the US or a comparative agendas project. Or they might focus on the development of a research design / article / dissertation / MA thesis idea. These may be qualitative or quantitative approaches, USbased or comparative. I particularly encourage papers that seek to identify a gap in the literature and make a proposal to fill it. As you will see, agenda-setting is related to many other areas of political science (not to mention sociology, communications, law, psychology, and economics), but the literature has developed somewhat in isolation. Therefore, I would particularly appreciate papers that find paradoxes or contradictions between the agendas literature on some topic and another approach to the same topic, and seek to explain the cause of them, or, better, to propose a resolution of the paradox. Further, as you will see, much of the recent literature on agenda-setting relies on a model of human decision making within governments that has never been subjected to direct observational tests. That is, for example, we don't know how many elements of a situation actual government decision makers consider when making decisions. In the literature, we hypothesize that this is a small number, but we really don't know what the number is. So there are lots of areas in the literature we are going to review this semester where a smart graduate student could design an original research project and make a real impact. So I encourage all kinds of term paper projects, from gleam-in-the-eye proposals to very focused methods pieces, and everything in between.

Assignments will include short discussion papers due from each student seven times throughout the semester, or about every two weeks. I will use peer pressure and informal suggestions to ensure that each week about half of you write one of these papers and are therefore prepared to lead the discussion on various topics each week. I will distribute discussion topics / paper assignments each week in class for the following week's discussion, and these will serve as an agenda for the seminar, and help guide your focus as you do the readings. The one-page (single spaced) papers are due by email attachment by noon on the day of class. I will grade these on a 0/1/2 scale. If you get a zero you can do it again. One means you did a good description but I did not see enough original contribution. Two means you not only described accurately but you gave a creative insight that was useful. Make sure you try to do that in all your papers.

Discussion and active participation are fundamental. Note that it counts for 15 percent of the grade, and I will not necessarily assign participation grades only within a narrow B+ to A range. It is fine to come to class not having understood something, as long as you come with those questions and ask them. If it becomes clear that any students have come to class without having done the readings, I will ask them to leave. (On the other hand, if some crisis prevented you from doing one of the readings, and you let me know in advance, that is fine.)

It is impossible to do well in a graduate term paper by starting at the last minute, so I have a number of interim assignments (weeks 3, 7, and 10) designed to keep you on track throughout the semester. These also allow me to give you feedback along the way to push your project to a higher level. The grades associated with these interim assignments are not huge, but they do add up, so make sure to hand them in on time and to take them seriously. You will be pleasantly

surprised what a good term paper you can do if you work on it regularly rather than all in a rush at the last second. (It may become a habit, who knows!)

Grades will be calculated according to this formula:

Participation	15
7 one-page assignments, equally weighted	35
3 Term paper draft assignments, equally weighted	15
Term paper	35
Total	100

Books for purchase:

- Adler, E. Scott, and John D. Wilkerson. 2012. *Congress and the Politics of Problem Solving*. New York: Cambridge University Press.
- Baumgartner, Frank R., and Bryan D. Jones. 2009. *Agendas and Instability in American Politics*, 2nd ed. Chicago: University of Chicago Press. (older editions ok)
- Boydstun, Amber E. 2013. *Making the News: Politics, the Media, and Agenda Setting*. Chicago: University of Chicago Press.
- Cohen, Jeffrey E. 2012. *The President's Legislative Policy Agenda, 1789–2002.* New York: Cambridge University Press.
- Jacob, Herbert. 1988. Silent Revolution: The Transformation of Divorce Law in the United States. Chicago: The University of Chicago Press.
- Jones, Bryan D. 2001. *Politics and the Architecture of Choice*. Chicago: University of Chicago Press.
- Jones, Bryan D., and Frank R. Baumgartner. 2005. *The Politics of Attention: How Government Prioritizes Problems*. Chicago: University of Chicago Press.
- Kingdon, John W. 1995. *Agendas*, *Alternatives*, *and Public Policies*. 2d ed. New York: HarperCollins. (older editions are fine as well)
- Miller, Lisa L. 2008. *The Perils of Federalism: Poor People and the Politics of Crime Control*. New York: Oxford University Press.

All the required readings beyond these books should be on the class web site.

Disabilities: Please let me know in the first two weeks of class if you need any accommodation for a disability. No problem. But don't delay in letting me know.

Academic Honesty: Study together but make sure the work you hand in is your own.

Effort: Don't come to class unprepared to participate.

- **Intimidation Factor:** I'm the author of a lot of the work discussed here. That can either be a cause not to critique and discuss, or an opportunity to engage with a person who is active in the field. I have thick skin and welcome criticism, discussion, and challenges. So feel free!
- **Computers and cell phones:** Turn them off, period. Pay attention to the discussion. Bring paper copies of the readings, and a pad and pen to take notes.

Weekly assignments and calendar

All the readings are required. It's fine not to understand parts of the readings. In that case, come with questions. Ideally, write those questions down and bring a list. Also, bring paper copies of the readings so that you can refer to them during class discussion.

Week 1, Jan. 8, 2014. Introductions and discussion, no readings.

Week 2. Jan. 15. Where things started: Power, pluralism, and elitism; conflict expansion; issue-attention; agendas

- 1. Bachrach, Peter and Morton Baratz. 1962. The Two Faces of Power. *American Political Science Review* 56: 947–52.
- 2. Walker, Jack L., Jr. 1966. A Critique of the Elitist Theory of Democracy. *American Political Science Review* 60: 285–95, 391–92.
- 3. Dahl, Robert A. 1966. Further Reflections on "The Elitist Theory of Democracy." *American Political Science Review* 60: 296–305.
- 4. Schattschneider, E. E. 1975 [1960]. *The Semi-Sovereign People*. New York: Harcourt Brace Jovanovich College Publishers, ch. 1-3.
- 5. Downs, Anthony. 1972. Up and Down with Ecology: The Issue Attention Cycle. *Public Interest* 28: 38–50.
- 6. Cobb, Roger W., Jeannie Keith-Ross, and Marc Howard Ross. 1976. Agenda Building as a Comparative Political Process. *American Political Science Review* 70: 126–38.
- 7. Walker, Jack L., Jr. 1977. Setting the Agenda in the U.S. Senate: A Theory of Problem Selection. *British Journal of Political Science* 7: 423–45.

Week 3, Jan. 22. Kingdon, ambiguity, and multiple streams models

- 1. Kingdon, John W. 1995. *Agendas, Alternatives, and Public Policies*. 2d. ed. New York: HarperCollins. (earlier 1984 edition also ok)
- 2. Cohen, Michael, James G. March, and Johan P. Olsen. 1972. A Garbage Can Theory of Organizational Choice. *Administrative Science Quarterly* 17: 1–25.

Due in class: One-page single spaced memo explaining your proposed term-paper project. It should explain the puzzle you want to explore and your approach. If you have some ideas about the relevant literature, please include. Give as much detail as you can at this point. If you are deciding between two possible topics, give me two memos; that is fine.

Week 4. Jan. 29. Punctuated equilibrium

(Note: class has to be moved this week to perhaps Friday Jan 24 if possible)

- 1. Baumgartner, Frank R., and Bryan D. Jones. 2009 [1993]. *Agendas and Instability in American Politics*. 2nd ed. Chicago: University of Chicago Press. (either edition is ok)
- 2. Eldredge, Niles, and Stephen J. Gould. 1985 [1972]. Punctuated Equilibria: An Alternative to Phyletic Gradualism. In Niles Eldredge, *Time Frames: The Evolution of Punctuated Equilibrium*. Princeton, NJ: Princeton University Press, Appendix, pp. 193–

223. [Originally published in Thomas J. M. Schopf, ed., *Models in Paleobiology*. San Francisco: Freeman, Cooper, pp. 82–115]

Week 5, Feb. 5. Developing a model of choice

- 1. Jones, Bryan D. 2001. *Politics and the Architecture of Choice*. Chicago: University of Chicago Press.
- 2. Simon, Herbert A. 1985. Human Nature in Politics: The Dialogue of Psychology with Political Science. *American Political Science Review* 79: 293–304.

Week 6, Feb. 12. Threshold models, increasing returns, positive feedback, power laws, complexity

- 1. Merton, Robert K. 1968. The Matthew Effect in Science. Science 159: 56-63.
- 2. Granovetter, Mark. 1978. Threshold Models of Collective Behavior. *American Journal of Sociology* 83: 1420–43.
- 3. David, Paul A. 1985. Clio and the Economics of QWERTY. *American Economic Review* 75: 332–37.
- 4. Adler, Moshe. 1985. Stardom and Talent. *American Economic Review* 75, 1 (March): 208–212.
- 5. Arthur, W. Brian. 1989. Competing Technologies, Increasing Returns, and Lock-in by Historical Events. *Economic Journal* 99 (394): 116–131.
- 6. Bikhchandani, Sushil, David Hirshleifer, and Ivo Welch. 1992. A Theory of Fads, Fashion, Custom, and Cultural Change as Informational Cascades. *Journal of Political Economy* 100: 992–1026.
- 7. Lohmann, Susanne. 1994. The Dynamics of Informational Cascades: The Monday Demonstrations in Leipzig, East Germany, 1989–1991. *World Politics* 47: 42–101.
- 8. Pierson, Paul. 2000. Path Dependence, Increasing Returns, and the Study of Politics. *American Political Science Review* 94: 251–67.

Week 7, Feb. 19. Attention, information, cognition, and the distributional approach

1. Jones, Bryan D., and Frank R. Baumgartner. 2005. *The Politics of Attention: How Government Prioritizes Problems*. Chicago: University of Chicago Press.

Annotated bibliography due. This means you should have identified the key source material you are planning to use. You don't have to have read it all yet but you should have identified the likely suspects. A longer bibliography is better than a short one. No need for extensive annotations, but rather just a list of readings organized by the topics that you plan to cover.

Week 8, Feb. 26. Comparative studies on friction and the general punctuation hypothesis

- 1. Jones, Bryan D, Tracy Sulkin, and Heather Larsen. 2003. Policy Punctuations in American Political Institutions. *American Political Science Review* 97: 151–70.
- 2. Baumgartner, Frank R., Christian Breunig, Christoffer Green-Pedersen, Bryan D. Jones, Peter B. Mortensen, Michiel Neytemans, and Stefaan Walgrave. 2009. Punctuated

- Equilibrium in Comparative Perspective. *American Journal of Political Science* 53, 3 (July): 602–19.
- 3. Jones, Bryan D., Frank R. Baumgartner, Christian Breunig, Christopher Wlezien, Stuart Soroka, Martial Foucault, Abel François, Christoffer Green-Pedersen, Peter John, Chris Koski, Peter B. Mortensen, Frédéric Varone, and Stefaan Walgrave. 2009. A General Empirical Law for Public Budgets: A Comparative Analysis. *American Journal of Political Science* 53, 4 (October): 855–73.
- 4. Jensen, Carsten. 2009. Policy Punctuations in Mature Welfare States. *Journal of Public Policy* 29 (3): 287-303.
- 5. John, Peter, and Will Jennings. 2010. Punctuations and Turning Points in British Politics: the Policy Agenda of the Queen's Speech, 1940-2005. *British Journal of Political Science* 40: 561-586.
- 6. Breunig, Christian, Chris Koski, and Peter B. Mortensen. 2010. Stability and Punctuations in Public Spending: a Comparative Study of Budget Functions. *Journal of Public Administration Research and Theory* 20 (3): 703–722.

Week 9, Mar. 5. The Politics of Information

1. Baumgartner, Frank R., and Bryan D. Jones. 2014. *The Politics of Information*. Chicago: University of Chicago Press.

Mar. 8-16 Spring Break

Week 10, Mar. 19. A Theory of Congressional Problem-Solving. Seriously.

1. Adler, E. Scott, and John D. Wilkerson. 2012. *Congress and the Politics of Problem Solving*. New York: Cambridge University Press.

Detailed outline of paper due. This should include a full structure, planned cites, methods, etc. The text need not be written but the structure should be complete, in outline form. You'll be surprised how easy it is to complete the paper if you have a complete outline in the proper order.

Week 11, Mar. 26. A Theory of Presidential Agenda-Setting

1. Cohen, Jeffrey E. 2012. *The President's Legislative Policy Agenda, 1789–2002.* New York: Cambridge University Press.

Week 12, Apr. 2. A Theory of the Composition of the Front Page of the New York Times

1. Boydstun, Amber E. 2013. *Making the News: Politics, the Media, and Agenda Setting*. Chicago: University of Chicago Press.

Week 13, Apr. 9. A Theory of Federalism

1. Miller, Lisa L. 2008. *The Perils of Federalism: Poor People and the Politics of Crime Control.* New York: Oxford University Press.

Week 14, Apr. 16. Policy Change without Agenda-Setting: a "Silent Revolution"

1. Jacob, Herbert. 1988. *Silent Revolution: The Transformation of Divorce Law in the United States.* Chicago: The University of Chicago Press.

Week 15, Apr. 23. Discussion of unanswered questions in this literature and next steps

Assignment: come to class with 5 ideas of interesting but unanswered research questions that stem from your readings this semester. Put them in order by a combined ranking of two factors: A) how interesting or important is the idea? That is, how many people in the profession would care if you could answer this question? Would someone publish the results? Would the results be directly in contradiction to some published work? Or would they rather resolve a problem that has previously been avoided? B) How feasible is it to answer the question? What would it take, in terms of research and data collection, to do it? Feel free to compile this list based on your weekly papers and discussions and keep it going throughout the semester. It may relate to your term paper. So, each of you should come with 5 one-page summaries. You might want to work on these throughout the semester. They will be useful documents when time comes to study for general exams; you can thank me later.

Term papers due